

INFORMATION DISCLOSURE

To: - **State Securities Commission of Vietnam**
- **Hanoi Stock Exchange**

1. Name of organization: **Utxi Aquatic Products Processing Corporation**
 - Stock code: UXC
 - Head office address: 24 Provincial Road 934, Ha Bo Hamlet, Tai Van Commune, Can Tho City, Vietnam
 - Phone: 02993) 852.955 Fax: (02993) 852.670
2. Information disclosure person: Chiem Hai Hoa – Authorized person to disclose information
3. Type of information disclosure: periodic 24-hour unusual upon request
4. Content of the disclosure: On April 10, 2026, Utxi Aquatic Products Processing Corporation was issued Decision No. 463/QD-SGDHN dated April 8, 2026 of the Hanoi Stock Exchange on maintaining the restricted trading status of UXC shares of Utxi Aquatic Products Processing Corporation and Notice No. 1579/TB-SGDHN dated April 8, 2026 of the Hanoi Stock Exchange on the status of securities of UXC shares on the UPCoM trading system
5. This information was published on the company's website on: April 10, 2026 at the following link: <http://utxi.com.vn/vi/news/co-dong/>
We hereby certify that the information disclosed above is true and take full legal responsibility for the content of the disclosed information.

Attachments:

- Decision No. 463/QD-SGDHN dated April 8, 2026 of the Hanoi Stock Exchange.
- Notification No. 1579/TB-SGDHN dated April 8, 2026 of the Hanoi Stock Exchange.

Recipient:

- As above;
- Board of Directors, Executive Board;
- Supervisory Board
- Filling: Office

Organizational Representative
Persons authorized to disclose information



CHIEM HAI HOA

**HANOI
STOCK EXCHANGE**

No: 1579 /TB-SGDHN



**SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness**

Hanoi, April 08, 2026

NOTICE

On the securities status of UXC shares on the UPCoM trading system

The Hanoi Stock Exchange (HNX) announces the securities status of UXC shares of Utxi Aquatic Products Processing Corporation as follows:

Trading restriction according to Decision No. 463/QD-SGDHN dated April 08, 2026, due to: The registered trading organization has negative equity in the 2025 financial statements in accordance with Point a, Clause 1, Article 34 of the Regulation on Registration and Management of Unlisted Securities Trading issued together with Decision No. 23/QD-HĐTV dated March 18, 2026, of the Members' Council of Vietnam Stock Exchange.

Recipients:

- Utxi Aquatic Products Processing Corporation
- VSDC
- Chairman, General Director (for reporting);
- Information & Trading Support Department;
- Securities Companies;
- Archive: Office, Listing Management.

**FOR GENERAL DIRECTOR
Deputy General Director**

Signed and sealed

Do Van Tam

Note: *This English version is translated from the original Vietnamese document. In case of any discrepancies in content, the Vietnamese version shall be the official and legally binding version for resolution.*

HANOI
STOCK EXCHANGE

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No: 463/QD-SGDHN

Hanoi, April 08, 2026



DECISION

On maintaining restricted trading status

**GENERAL DIRECTOR
HANOI STOCK EXCHANGE**

Based on Decision No. 01/QD-HDTV dated June 30, 2021 of the Members' Council of Vietnam Stock Exchange on the establishment of Hanoi Stock Exchange;

Based on the Charter on Organization and Operation of Hanoi Stock Exchange issued together with Decision No. 08/QD-HDTV dated July 9, 2021 of the Members' Council of Vietnam Stock Exchange;

Based on the Law on Securities No. 54/2019/QH14 as amended and supplemented by Law No. 56/2024/QH15;

Based on the Regulation on Registration and Management of Trading of Unlisted Securities issued together with Decision No. 23/QD-HDTV dated March 18, 2026 of the Members' Council of Vietnam Stock Exchange;

At the proposal of the Director of the Listing Management Department.

DECIDES:

Article 1. Maintain restricted trading status for shares UXC of Utxi Aquatic Products Processing Corporation, specifically as follows:

- Basis for consideration: Audited financial statements for 2025;
- Reason for maintaining restricted trading: The registered trading organization has negative equity in the 2025 financial statements;
- Shares restricted in trading time: Only allowed to trade on Fridays each week;
- Applicable regulation: Point a, Clause 1, Article 34 of the Regulation on Registration and Management of Trading of Unlisted Securities issued together with Decision No. 23/QD-HDTV dated March 18, 2026 of the Members' Council of Vietnam Stock Exchange.

Article 2. Within 15 days from the date the shares are restricted in trading under Article 1 of this Decision, Utxi Aquatic Products Processing Corporation must submit a written explanation to Hanoi Stock Exchange stating the reasons and providing a remedial plan.

Article 3. This Decision replaces Decision No. 1017/QD-SGDHN dated August

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20, 2025 of Hanoi Stock Exchange on maintaining restricted trading status.

Article 4. This Decision takes effect from the date of signing. The Director of the Listing Management Department and Utxi Aquatic Products Processing Corporation are responsible for implementing this Decision.

Recipients:

- As in Article 4;
- State Securities Commission (for reporting);
- Vietnam Stock Exchange (for reporting);
- Chairman, General Director (for reporting);
- Information & Trading Support Department;
- Archive: Office, Listing Management

**FOR GENERAL DIRECTOR
Deputy General Director**

Signed and sealed

Do Van Tam



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